



دائرة تنمية المجتمع  
DEPARTMENT OF COMMUNITY  
DEVELOPMENT

Abu Dhabi  
**Fundraising  
Policy**



Abu Dhabi

# Fundraising Policy



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# 1. Definitions

**Abu Dhabi:** Emirate of Abu Dhabi

**Executive Council:** Abu Dhabi Executive Council

**Ministry:** Ministry of Community Development

**DCD:** Department of Community Development

**Law:** Federal Law No. 3 of 2021 on Fundraising

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**Executive Regulations:** Cabinet Resolution No. 96 of 2022 on the Executive Regulations of Federal Law No. 3 of 2021 on fundraising

**Donations:** The collection of funds, whether cash or in-kind, movable or immovable, including national or foreign currencies, bonds, , and stocks, in any format, including electronic or digital, to be used for charitable purposes or delivering humanitarian or charitable services and assistance.

**Fundraising:** Obtaining donations by any means in accordance with the provisions of the Law.

**Licensed Entities:** Charitable entities and federal, local, and civic entities and institutions, authorized by laws, decrees, or establishment laws, to collect, accept, and distribute donations.

**Permit:** Written or electronic approval for the collection and distribution of donations in accordance with the provisions of the Law.

**Permitted Entities:** Legal persons authorized by DCD in accordance with the provisions of the Law.

# 1. Definitions

**Beneficiary:** Any natural or legal person receiving domestic or international donations and assistance.

**Classification Certificate:** A certificate issued by DCD granting the charitable or humanitarian status of associations, entities, and civil institutions of public interest based on a predefined set of controls and requirements.

**Money Transfer:** Banking operations used to move donated funds within or outside of the UAE.

**Efficiency Measurement and Evaluation System:** A system that measures the efficiency of licensed and permitted entities to collect, accept, and distribute donations using a set of adopted indicators.

**Charitable Entity:** A non-profit entity that is registered or licensed in the UAE and that collects or accepts donations in order to provide material or in-kind assistance.

**Accredited Charitable Entity:** A charitable entity or entity that has acquired the charitable status and is authorised by DCD to collect and accept donations for its own initiatives and projects and/or on behalf of permitted entities, with the purpose of providing material or in-kind assistance.

**Stakeholders:** Permitted entities, licensed entities, entities that have their charitable status recognized, and accredited as charitable entities.

# 1. Definitions

**Unified Electronic Record:** The record determined by DCD, in collaboration with the Ministry, and which stakeholders are committed to implementing within their systems. It encompasses the data determined by DCD, including details about donors, donation proceeds, and beneficiaries, in addition to any other data or information specified by DCD for all stakeholders or a particular category thereof.

**Evaluation Committee:** An internal organizational mechanism established by DCD to review requests for exceptions, amendments, and the results of the efficiency measurement and evaluation system.

## 2. INTRODUCTION

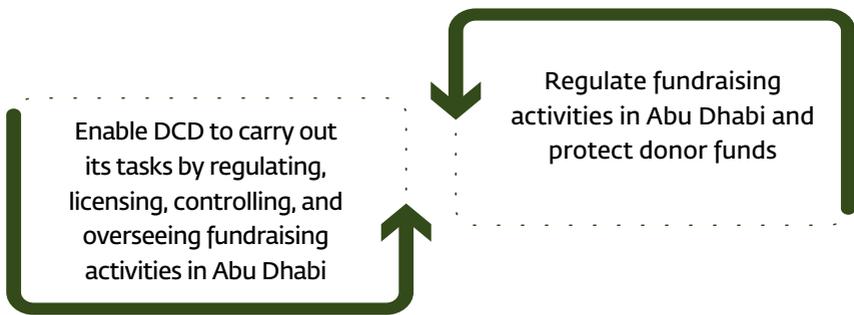
- 2.1. Policy Objectives
- 2.2. Policy's Scope of Implementation
- 2.3. Key Co-Organizers of Fundraising Activities
- 2.4. Documents Required for Fundraising Regulation Services



## ■ 2.1 Policy Objectives

The present Policy is issued pursuant to Executive Council Resolution No. 112 of 2020 mandating DCD to regulate, license, control, and oversee fundraising activities in Abu Dhabi, and in line with Federal Law No. 3 of 2021 on fundraising and Cabinet Resolution No. 96 of 2022 on the Executive Regulations of Federal Law No. 3 of 2021 on fundraising.

The present Policy aims to:



## ■ 2.2 Policy's Scope of Implementation

The following entities are subject to the present Policy:



Stakeholders



Key partners in the organization of fundraising activities and venues in Abu Dhabi including its free zones



Entities benefiting from fundraising, including natural and legal persons in Abu Dhabi including its free zones.

## ■ 2.3 Key Co-Organizers of Fundraising Activities

DCD collaborates and coordinates with key entities in organizing fundraising activities in Abu Dhabi in accordance with their respective competencies and powers, and as per their governing laws, such as:



دائرة البلديات والنقل  
DEPARTMENT OF MUNICIPALITIES  
AND TRANSPORT

### **Department of Municipalities and Transport - Abu Dhabi**

Cooperating and collaborating with DCD in identifying and organizing the locations and standards of donation boxes and containers in accordance with the provisions of this Policy in order to ensure greater participation in fundraising activities for all members of the community and to maintain Abu Dhabi's overall appearance.



دائرة الثقافة والسياحة  
DEPARTMENT OF CULTURE  
AND TOURISM

### **Department of Culture and Tourism – Abu Dhabi**

Licensing all activities and events organized by or held in Abu Dhabi, including licensing community events aimed at fundraising through the events licensing system.



### **Relevant Federal Entities**

Coordinating with all stakeholders in managing the fundraising regulation processes, each according to its competence, and in accordance with the legislation and procedures in force in the UAE.

## ■ 2.4 Documents Required for Fundraising Regulation Services

Entities wishing to fundraise within the Abu Dhabi geographical boundaries must provide DCD with the following documents when applying for the service:

- 01  A letter clarifying the request, signed by a representative authorized to sign on behalf of the requesting entity
- 02  A copy of the requesting entity's declaration, licensing, or incorporation decision
- 03  The requesting entity's Articles of Association or Memorandum of Association
- 04  A copy of the passport of the requesting entity's authorized representative
- 05  A copy of the Emirates ID of the requesting entity's authorized representative
- 06  A document indicating the authority given to the individuals to sign on behalf of the requesting entity

The entities must also provide DCD with additional documents specified according to the type of service referred to in the present Policy.

### 3. DCD Mandate in Regulating Fundraising Activities



## ■ DCD Mandate in Regulating Fundraising Activities

DCD undertakes the following functions:



Issuing policies, regulations, standards, decisions, circulars, and other regulatory tools concerning all aspects of fundraising activities in Abu Dhabi, as well as the monitoring and oversight of their implementation in accordance with the provisions of the Law



Issuing permits, classifications, adoptions, approvals, and Non-Objection Certificates (NOC)s



Monitoring and overseeing the implementation of regulations, policies, decisions, and circulars, including monitoring through review, audit, and inspection of financial records of all entities holding a fundraising permit, attending and monitoring fundraising events, overseeing the opening of donations boxes, investigating complaints, and requesting any additional information



Preparing or developing digital systems and networks, including the unified electronic record and all technological tools to manage and monitor the process of fundraising in Abu Dhabi



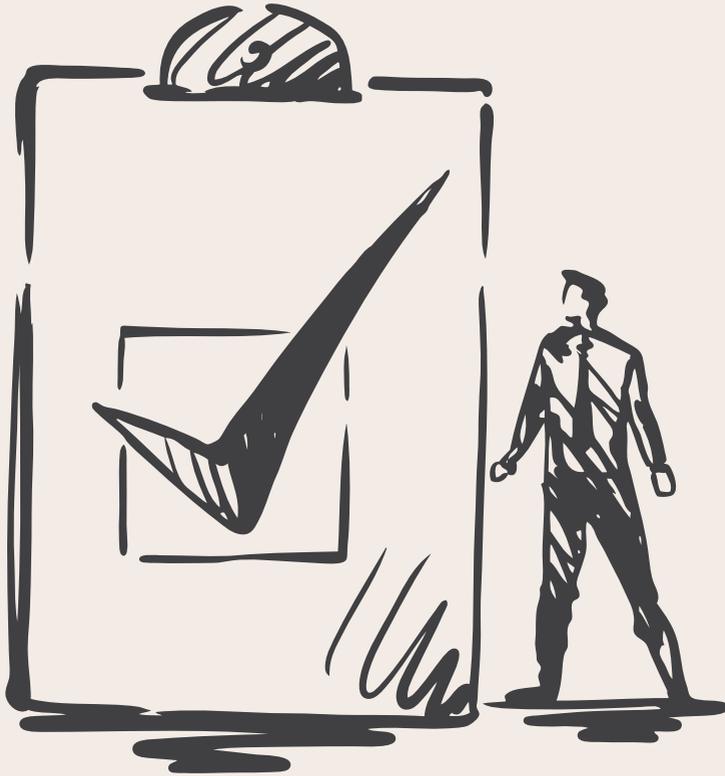
Taking all measures within its mandate to manage any suspected violations, including inspecting, controlling, investigating, suspending, or revoking permits and classification, as well as referring suspected violations to the competent authorities in accordance with the provisions of the Law



Communicating and cooperating with any of the federal or local entities to coordinate and regulate fundraising in Abu Dhabi, including the conclusion of any agreements, memoranda of understanding, or any other arrangements

# 4.

## Evaluation Committee



## ■ Evaluation Committee

A committee, called the Evaluation Committee, can be formed in DCD through a decision by the Chairman. This Committee will be responsible for carrying out the functions and duties outlined by DCD's Chairman, including:



Reviewing requests for exceptions pertaining to stakeholders' and operational expenses



Reviewing requests for exceptions related to the number of permits within a year



Reviewing cases where the provision of funds to the beneficiaries or the allocation of funds for the specified purposes in the permit request is not feasible



Reviewing cases where the fundraising campaign surpasses its target amount



Reviewing cases where stakeholders receive a weak evaluation as per the efficiency measurement and evaluation system

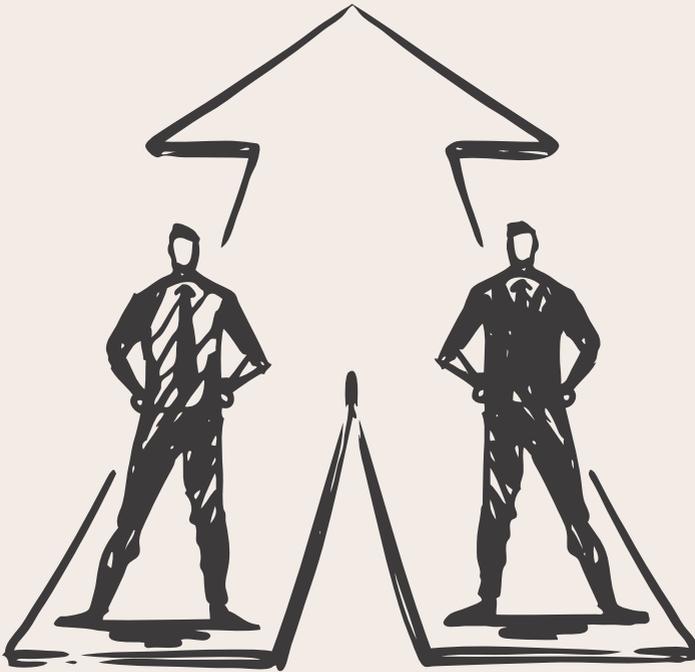


Any other tasks assigned thereto by DCD Chairman

## 5.

# Granting Charitable or Humanitarian Status

- 5.1 Charitable Status
- 5.2 Humanitarian Status
- 5.3 Additional Classification Controls
- 5.4 Classification Renewal
- 5.5 Classification Cancellation



## ■ 5.1 Charitable Status

Pursuant to the provisions of Law No. 3 of 2021 on the regulation of fundraising and its Executive Regulations, entities granted charitable status through a decision will have the responsibilities and obligations associated with charitable entities as per the definition and provisions of the aforementioned Law and its Executive Regulations.

The charitable status is granted to the requesting entities based on their fundraising objectives as follows:

- Granting charitable status to fundraise for its own initiatives and projects
- Granting and adopting charitable status to fundraising for its own initiatives and projects and/or on behalf of permitted entities

### ■ The conditions for granting charitable status to fundraise for its own initiatives and projects are as follows:

1. The main activity of the requesting entity must be based on the implementation of charitable and development initiatives and projects and the provision of material and in-kind assistance to all segments of society
2. The entity applying for charitable status must allocate a minimum of 70% of its total revenues from donations and charitable activities during the fiscal year towards charitable and development initiatives, projects, and beneficiary cases
3. The requesting entity must have the needed material and human resources and capabilities to manage the fundraising process, i.e.:
  - Documented internal mechanisms and procedures for fundraising, outlining clear responsibilities, reporting requirements, and the fundraising process .
  - Financial controls and transparency procedures aimed at ensuring efficient fund utilization and minimizing the potential for fund misappropriation.
4. The requesting entity must have a system to examine and study the status of beneficiaries and their need for support, i.e.:
  - Evidence of participation in previous projects, studies, or reports related to beneficiaries and their need for support

5. The requesting entity is responsible for independently managing the fundraising process except for fundraising methods requiring a third party
6. The requesting entity must adhere to the applicable controls and procedures upon delivering, submitting, or transferring donations abroad
7. Any other requirements as set by DCD
8. The entity must submit a request to issue a classification as a charitable entity to DCD. The request must outline the intention to "acquire charitable status to fundraise for its own initiatives and projects and/or on behalf of permitted entities." The entity shall attach the necessary documents to request an NOC to open a bank account for fundraising purposes

Should the request meet the conditions and requirements, DCD will issue a charitable entity classification certificate. This certificate includes the entity's name, its primary field or activity, the classification type and number, as well as the start and end dates of the classification, along with an NOC to open a bank account, within thirty (30) working days.

DCD will monitor and oversee all of the charitable entity's fundraising activities within the geographical boundaries of Abu Dhabi.

Should the request fail to meet the conditions and requirements, it will be rejected. If a decision to accept the request is not made within thirty (30) working days, the request will be considered implicitly rejected.

■ **The conditions for granting and adopting charitable status to fundraise for its own initiatives and projects and/or on behalf of permitted entities are the following:**

1. The requesting entity must demonstrate its capability to clearly distinguish between donations collected for the permitted entities' benefit and those raised for its own initiatives, and activities
2. The main activity of the requesting entity must be based on the implementation of charitable and development initiatives and projects and the provision of material and in-kind assistance to all segments of society

3. The requesting entity must allocate a minimum of 70% of its total revenues from donations and charitable activities during the fiscal year towards charitable and development initiatives, projects, and beneficiary cases
4. The requesting entity must have the needed material and human resources and capabilities to manage the fundraising activities, i.e.:
  - Detailed action plan for use during each fundraising campaign, in line with its internal regulations
  - Documented internal mechanisms and procedures for fundraising, outlining clear responsibilities, reporting requirements, and the fundraising process
  - Financial controls and transparency procedures aimed at ensuring efficient fund utilization and minimizing the potential for fund misappropriation
  - Dedicated department and team to collect donations on behalf of permitted entities
5. The requesting entity must have a comprehensive system to examine and study the status of beneficiaries and their need for support, including:
  - Dedicated department and/or team for research and studies
  - Evidence of participation in previous projects, studies, or reports related to beneficiaries and their need for support
6. The requesting entity will be responsible for independently managing the fundraising process except for fundraising methods requiring a third party
7. The requesting entity must adhere to the applicable controls and procedures upon delivering, submitting, or transferring donations abroad
8. Any other requirements set by DCD
9. The entity must submit a request to issue a classification as a charitable entity to DCD. The request must outline the intention to "acquire and accredit a charitable status to fundraise for its own initiatives and projects and/or on behalf of permitted entities." The entity shall attach the necessary documents to open a bank account dedicated to fundraising activities

If the request meets the conditions and requirements, DCD will issue a classification (and accreditation) certificate for a charitable entity. This certificate will include the entity's name, its primary field or activity, the classification type and number, as well as the start and end dates of the classification, along with an NOC allowing the opening of a bank account, within thirty (30) working days.

DCD will monitor and oversee all the charitable entity's fundraising activities within the geographical boundaries of Abu Dhabi.

Should the request fail to meet the conditions and requirements, it will be rejected. If a decision to accept the request is not made within thirty (30) working days, the request will be considered implicitly rejected.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to submit a request to issue a charitable entity classification certificate are:**

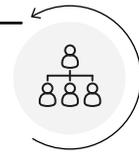
1. Request to issue a classification certificate signed by the requesting entity's authorized representative, specifying the purpose of the classification:
  - Granting charitable status to fundraise for its own initiatives and projects
  - Granting and accrediting charitable status to fundraise for its initiatives and
  - projects and/or on behalf of permitted entities
2. Form for determining the requesting entity's administrative and operational expense percentage
3. Report on the requesting entity's expenses on charitable and development projects and initiatives
4. Report on the requesting entity's beneficiary cases supported by a closing account
5. Report on the requesting entity's key achievements
6. Copy of the requesting entity's previous projects, studies, or reports related to beneficiaries and their need for support

7. Detailed action plan form for the requesting entity's fundraising campaigns
8. Copy of the requesting entity's internal fundraising mechanisms and procedures
9. Copy of the requesting entity's financial control and transparency procedures

**In addition to the following documents and in the event of a request to grant and accredit a charitable status to fundraise for its own initiatives and projects and/or on behalf of permitted entities, the requesting entity should provide:**

**Its organizational structure to highlight the presence of:**

- A department and/or team to collect donations on behalf of permitted entities
- A department and/or team dedicated to research and studies



Evidence of its capability to clearly distinguish between donations collected on behalf of permitted entities, and those raised for its own initiatives, and activities



## ■ 5.2 Humanitarian Status

As per Article 14 of the Executive Regulations of the Fundraising Law, organizations, authorities, and civic institutions classified as humanitarian are given priority in terms of exemption from the set number of permits allowed for entities within a one-year period.

■ **To qualify for the humanitarian status, the following conditions are required:**

1. The activities of the requesting entity must include providing material or in-kind assistance to a specific community group through the entity's participation in previous projects, studies, or reports related to that group
2. The requesting entity must have a system to examine and study the status of beneficiaries and their need for support, i.e.:
  - Dedicated department and team for research and studies; and/or
  - Evidence of participation in previous projects, studies, or reports related to beneficiaries and their need for support
3. The requesting entity must allocate a minimum of 50% of its total revenue from annual donations towards beneficiaries
4. Any other requirements set by DCD

The entity must submit a request to issue a classification as a humanitarian entity to DCD, as per the conditions and procedures specified by DCD.

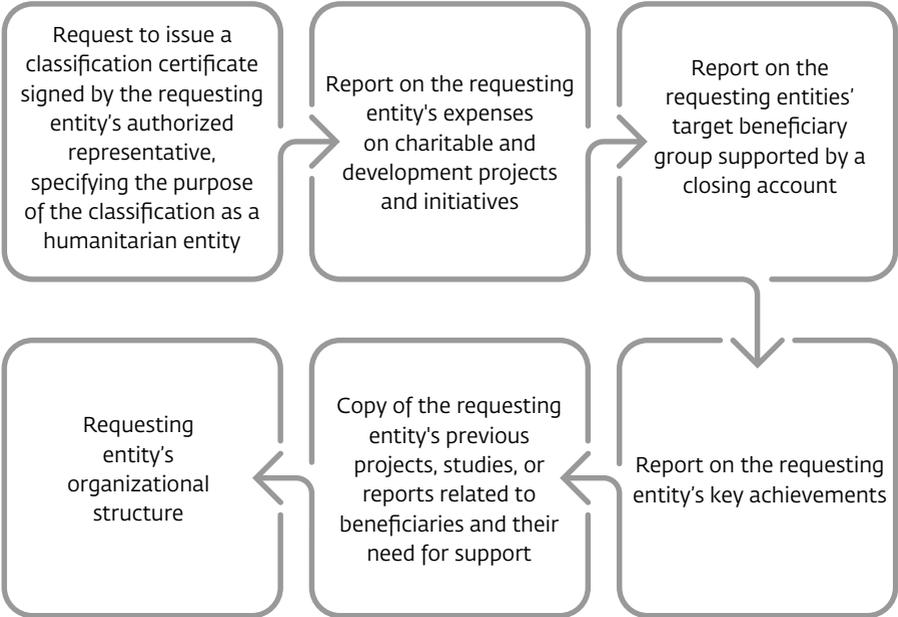
If the request meets the conditions and requirements, DCD will issue a classification certificate as a humanitarian entity. This certificate will include the entity's name, its primary field or activity, the classification type and number, as well as the start and end dates of the classification, within thirty (30) working days.

DCD will monitor and oversee all of the humanitarian entity's fundraising activities within the geographical boundaries of Abu Dhabi.

Should the request fail to meet the conditions and requirements, it will be rejected. If a decision to accept the request is not made within thirty (30) working days, the request will be considered implicitly rejected.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to submit a request for humanitarian status are:**



### ■ 5.3 Additional Classification Controls



No entity can label itself as a "charitable or humanitarian" entity, body, or institution, or utilize or engage with this designation unless authorized by a classification certificate, except in cases where their establishment laws, decrees, or decisions explicitly permit such labeling. When classifying civil institutions of public benefit, their reliance on the funds of their founders for financing their programs and projects, as well as the link between the entity's name and its founders' names, are considered.

### ■ 5.4 Classification Renewal



The status is initially granted for two years and can be extended for similar periods through the submission of a renewal request for the classification of a charitable or humanitarian entity. This renewal is contingent upon the requesting entity's ongoing fulfillment of all the necessary conditions outlined for the classification mentioned above.

### ■ 5.5 Classification Cancellation



DCD will cancel the charitable or humanitarian status of any of the classified entities in the event of a violation of any of the controls and conditions stipulated in the present Policy or those contained in the Executive Regulations of Federal Law No. 3 of 2021 on the regulation of donations.

## 6.

# Entity Accreditation

- 6.1 Accreditation of Entity with Charitable Status
- 6.2 Accreditation of Entity with Charitable Status  
Classified and Accreditation Outside Abu Dhabi
- 6.3 Accreditation Renewal
- 6.4 Accreditation Cancellation



## ■ 6.1 Accreditation of Entity with Charitable Status

To fundraise for its own initiatives and projects and/or on behalf of permitted entities, entities that have charitable status must apply for accreditation with DCD, according to the following conditions:

The requesting entity must demonstrate its capability to clearly distinguish between donations collected for the permitted entities' benefit and those raised for its own initiatives, and activities



The requesting entity must have the needed material and human resources and capabilities to manage the fundraising process, i.e.:

- Detailed action plan for use during each fundraising campaign, in line with its internal regulations
- Dedicated department and team to collect donations on behalf of permitted entities

The requesting entity must have a comprehensive system to examine and study the status of beneficiaries and their need for support, i.e.:

- Dedicated department and/or team for research and studies





The requesting entity is responsible for independently managing the fundraising process unless there are specific circumstances that necessitate involving a third party

The requesting entity must adhere to the applicable controls and procedures upon delivering, submitting, or transferring donations abroad



Any other requirements set by DCD

The entity must submit the accreditation request to DCD, with the requested documents attached.

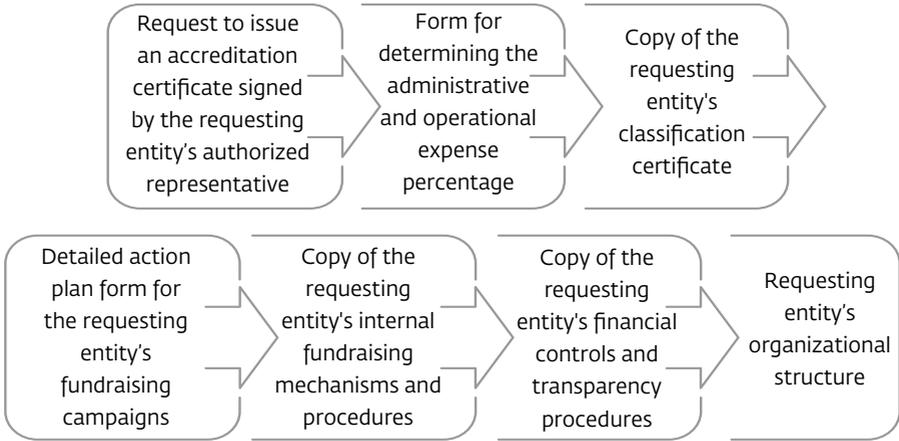
If the request meets the conditions and requirements, DCD will issue a charitable entity accreditation certificate. This certificate will include the entity's name, its primary field or activity, the classification type and number, as well as the start and end dates of the classification, within thirty (30) working days.

DCD will monitor and oversee all of the entity's fundraising activities within the geographical boundaries of Abu Dhabi.

Should the request fail to meet the conditions and requirements, it will be rejected. If a decision to accept the request is not made within thirty (30) working days, the request will be considered implicitly rejected.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to submit an adoption request are:**



## ■ 6.2 Accreditation of Entity with Charitable Status Classified and Accreditation Outside Abu Dhabi

If the entity is granted the charitable status and is accredited outside Abu Dhabi by the competent entity, the following procedures must be followed in order to accredit the classification at DCD:

The entity must submit an accreditation request to DCD along with documents proving the requesting entity has obtained one of the two statuses from the competent entity outside Abu Dhabi.

If the request meets the conditions and requirements, DCD will issue an accreditation certificate, including the entity's name, its primary field or activity, the certificate number, as well as the start and end dates of the accreditation, within thirty (30) working days.

DCD will monitor and oversee the accredited entity's fundraising activities within the geographical boundaries of Abu Dhabi.

Should the request fail to meet the conditions and requirements, it will be rejected. If a decision to accept the request is not made within a period of thirty (30) working days, the request will be considered implicitly rejected



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to submit a request to issue an accreditation certificate for charitable and accredited entities outside Abu Dhabi are:**

1. Request to issue an accreditation certificate, signed by the requesting entity's authorized representative
2. Documents proving that the requesting entity's has obtained the charitable status from competent authority outside Abu Dhabi
3. Form for determining the requesting entity's administrative and operational expense percentage
4. Report on the requesting entity's expenses on charitable and development projects and initiatives
5. Report on the requesting entity's beneficiary cases supported by a closing account
6. Report on the requesting entity's key achievements
7. Copy of the requesting entity's previous projects, studies, or reports related to beneficiaries and their need for support
8. Detailed action plan form for the requesting entity's fundraising campaigns

9. Copy of the requesting entity's internal fundraising mechanisms and procedures
10. Copy of the requesting entity's financial controls and transparency procedures
11. Organizational structure of the requesting entity to highlight the presence of:
  - A department and/or team to fundraise on behalf of permitted entities
  - A dedicated department and/or team for research and studies
12. Evidence of the requesting entity's capability to clearly distinguish between donations collected on behalf of permitted entities, and those raised for its own initiatives, and activities

### ■ 6.3 Accreditation Renewal



The accreditation is initially granted for two years, and it can be extended for similar periods through the submission of an accreditation renewal request. This renewal is contingent upon the requesting entity's ongoing fulfillment of all the necessary conditions outlined for the classification mentioned above.

### ■ 6.4 Accreditation Cancellation



DCD will cancel the accreditation of any of the accredited entities in the event of a violation of any of the controls and conditions stipulated in the present Policy or those contained in the Executive Regulations of Federal Law No. 3 of 2021 on the regulation of fundraising.

## 7.

# Entities' Administrative and Operational Expenses



## ■ **Entities' Administrative and Operational Expenses**

Licensed non-governmental entities can deduct a percentage of the donations they receive as administrative and operational expenses, provided they have obtained prior approval from DCD. The purpose of this deduction is to cover the necessary administrative and operational expenses incurred in the fundraising process on behalf of permitted entities, in accordance with the following controls:

**The deducted percentage must not exceed 15% of the total donations collected through regular means**

**The deducted percentage must not exceed 5% of the total donations collected through electronic means**

**The deducted percentage must not exceed 40% of the total revenues of parties, exhibitions, charitable markets, auctions, or charitable events. In all cases:**

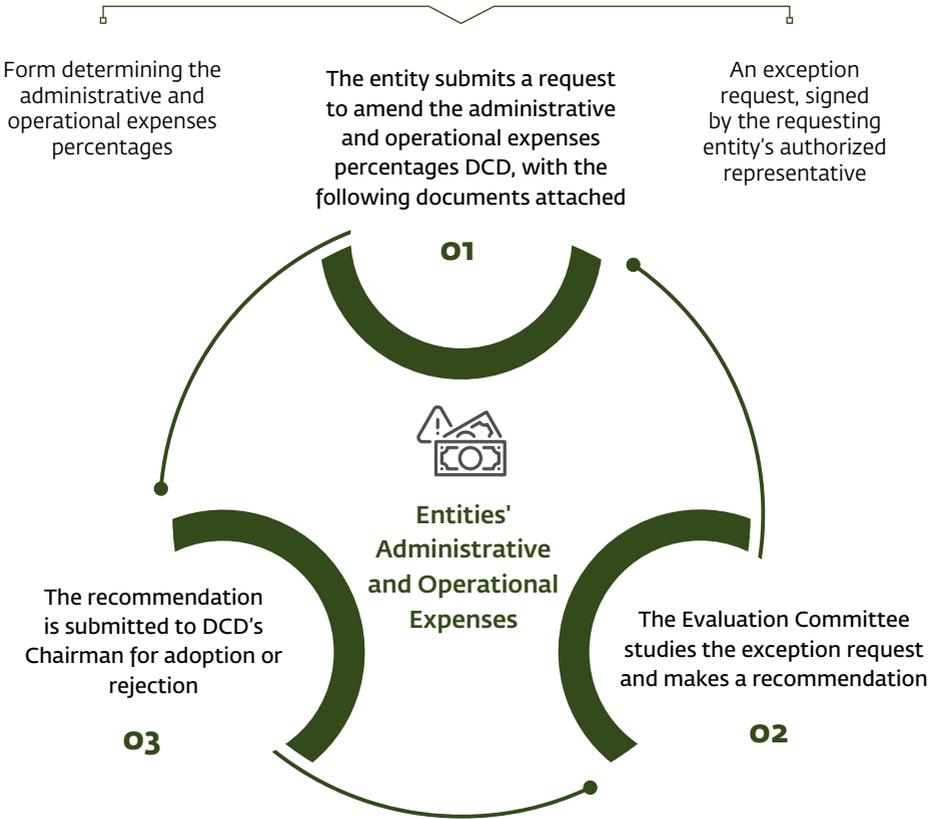
- The number of issued tickets to be authorized must not surpass the capacity of the event venue. DCD delegates can enter the venue for observation, and the organizing entity must reserve a minimum of two seats for them
- Free tickets must not exceed 10% of the total number of issued tickets

Entities must submit their administrative and operational expenses percentages when applying for the accreditation certificate by filling out the form prepared by DCD.

Upon obtaining the accreditation certificate, entities must submit periodic reports to DCD on the administrative and operational expenses of fundraising processes.

DCD's Chairman can amend the percentages by a maximum of 10%, based on the nature and procedures of the work required in the fundraising process, provided that there is a valid justification.

Entities can request changes in the administrative and operational expenses percentages by submitting a request and seeking the approval of DCD, as per the following procedures:



If the request meets the amendment conditions and requirements, DCD will issue an approval to amend the administrative and operational expenses percentages, within twenty (20) working days.

Should the request fail to meet the amendment conditions and requirements, it will be rejected. If a decision to accept the request is not made within a period of thirty (30) working days, the request will be considered implicitly rejected.

## 8.

# Fundraising Permits

- 8.1. Issuance of Fundraising Permits
- 8.2. Number of Permits
- 8.3. Permit Duration and Extension Procedures
- 8.4. Permit Cancellation
- 8.5. Permit Suspension
- 8.6. Permit Expiry
- 8.7. Amending the Permit to Change the Beneficiaries or Fundraising Purpose
- 8.8. Permit Duration Extending Beyond the Fiscal Year



## ■ 8.1 Issuance of Fundraising Permits

The entity must submit the request no later than twenty (20) working days before the specified date for the fundraising campaign, as outlined in the Executive Regulations and the present Policy. DCD reserves the right to consider exceptions to this timeframe if a valid justification is provided.

The permit request is studied, and a decision to approve or reject it is issued within twenty (20) working days from the date of submission, provided that all the necessary requirements are met. If no response is given within this timeframe, it is regarded as a rejection of the request.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to request fundraising permits are:**



## ■ 8.2 Number of Permits

No entity can be granted more than four permits in a single year. DCD's Chairman has the authority to exclude entities from this limit based on the recommendations provided by the Evaluation Committee. The following cases are considered for such exclusions:

1. The permitted entity received an excellent evaluation two consecutive times, as per the adopted indicators within the efficiency measurement and evaluation system
2. The need to conduct emergency or urgent fundraising campaigns, such as relief campaigns
3. The entity has received a humanitarian status classification
4. Any other cases set by DCD

To obtain an additional permit, the entity must adhere to the same procedures for obtaining a permit. These procedures include submitting a request that explains the need for an additional permit, along with a confirmation of consent signed by the accredited charitable entity.

### ■ 8.3 Permit Duration and Extension Procedures

The permit duration must not exceed one year, and DCD can extend it for a similar period upon the request of the permitted entity, if there is a valid justification, as per the procedures outlined in the Executive Regulations. The request for an extension must be accompanied by the reasons for requesting the permit extension and confirmation of consent signed by the accredited charitable entity.

### ■ 8.4 Permit Cancellation

#### Prior to Starting the Fundraising Campaign

The entity must submit a request to cancel the permit request during the permit study period outlined in the Law, along with the justification for the cancellation request.

The request to cancel the permit request is studied, and a decision will be issued within twenty (20) working days from the submission date. If the request to cancel the permit request is approved, it will not be included in the total number of yearly permits allowed for permitted entities.

The documents required to request to cancel the permit request are:



## During the Fundraising Campaign

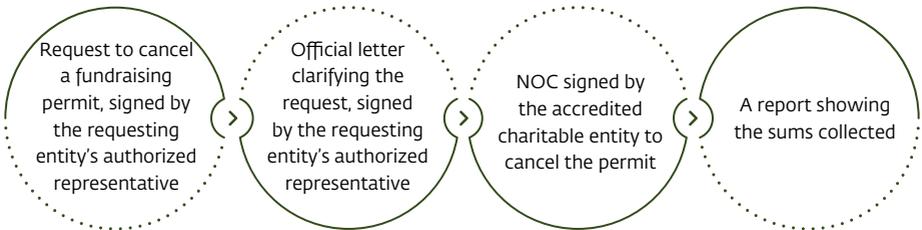
The entity must submit a request to cancel the permit during the campaign, along with the justification for the cancellation request.

The request to cancel the permit during the campaign is studied, and a decision will be issued within twenty (20) working days from the submission date. The requesting entity must continue the campaign until the issuance of the decision.

If the cancellation request is approved, the permitted entity must distribute the collected funds to the beneficiaries within the time period set for distribution in the permit request, as of the permit cancellation date. The canceled permit will be included in the total number of yearly permits allowed for permitted entities as stipulated in the Law.

If the cancellation request is approved, the permitted entity must submit the required reports to DCD within the set deadlines.

The documents required to submit a permit cancellation request are:



## ■ 8.5 Permit Suspension

DCD will suspend the fundraising permit if the charitable entity or permitted entity violates the conditions and controls of the permit, as per the procedures outlined in the Executive Regulations.

## ■ 8.6 Permit Expiry

fundraising permit expires if the donation amount reaches the target amount, and in the cases specified in the Law and its Regulations:

- If the donated amount surpasses the intended target, the case is referred to the Evaluation Committee within DCD to decide the appropriate course of action for utilizing the excess funds
- If the legal status of the permitted entity ceases to exist, the case is referred to the Evaluation Committee within DCD to decide the appropriate course of action for utilizing the proceeds generated from fundraising
- If the legal status of the accredited charitable entity conducting the fundraising campaign ceases to exist, the permitted entity can request the continuation of the permit through another accredited charitable entity

## ■ 8.7 Amending the Permit to Change the Beneficiaries or Fundraising Purpose

If the provision of funds to the beneficiaries or the allocation of funds for the specified purposes in the permit request is not feasible, the permitted entities must submit a detailed report regarding the proceeds of fundraising with a letter specifying the reasons for the inability to fulfill the intended obligations.

The entity shall be referred to the Evaluation Committee to examine the case and submit recommendations regarding the utilization of the funds to DCD's Chairman. A decision regarding the matter will be issued within twenty (20) working days from the date of document submission.

## ■ 8.8 Permit Duration Extending Beyond the Fiscal Year

If the permit duration of the permitted entity extends beyond the fiscal year in which it is issued, the controls specified in the Executive Regulations are applied.

## 9. Fundraising by Entities Licensed Outside Abu Dhabi



## ■ Fundraising by Entities Licensed Outside Abu Dhabi

For an entity licensed outside Abu Dhabi to fundraise within the geographical boundaries of the Emirate, the licensed entity must apply for an NOC from DCD for each fundraising campaign within the geographical boundaries of Abu Dhabi, along with the supporting documents that verify the entity's licensed status outside Abu Dhabi.

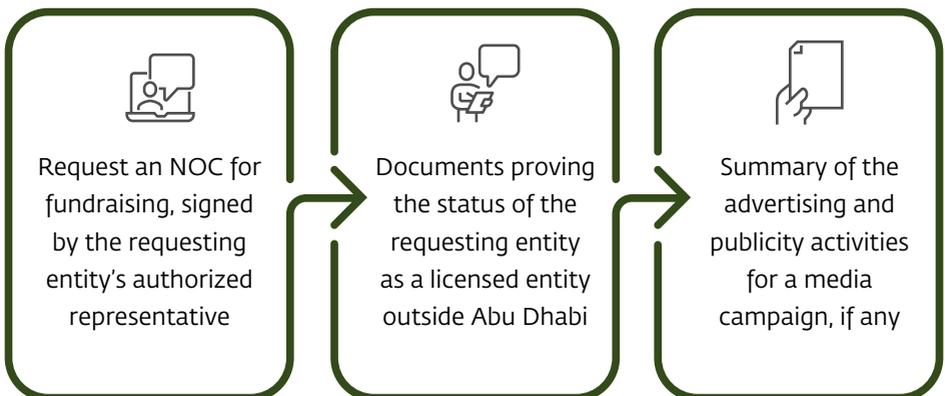
If the request meets the conditions and requirements, DCD will issue an NOC within thirty (30) working days.

Without prejudice to the licensed entity's other legal obligations towards the licensing entity under which it operates, DCD monitors and oversees all of the entity's fundraising activities within the geographical boundaries of Abu Dhabi.

Should the request fail to meet the conditions and requirements, it will be rejected. If a decision to accept the request is not made within thirty (30) working days, the request will be considered implicitly rejected.

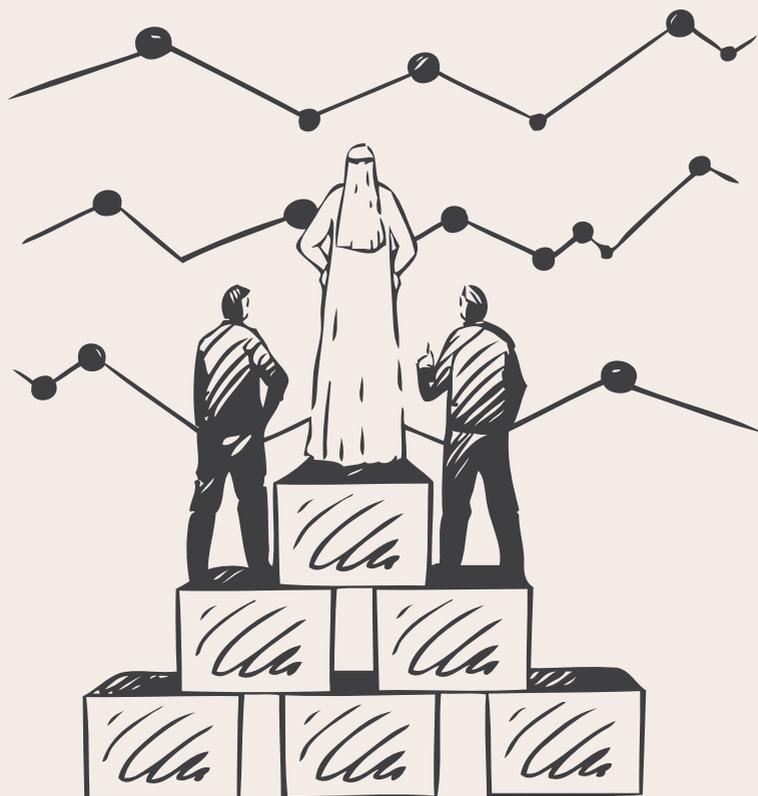


**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to submit an NOC to an entity licensed outside Abu Dhabi are:**



# 10.

## Efficiency Measurement and Evaluation System Implementation Mechanism



## ■ Efficiency Measurement and Evaluation System Implementation Mechanism

DCD uses the results and outputs of the efficiency measurement and evaluation system as a condition in determining the extent to which the entities requesting a fundraising permit can be allowed to fundraise in the future or the restrictions that may be imposed on them.

The efficiency and evaluation of licensed and permitted entities are measured through the following indicators:

-  | The percentage of fundraising costs from total donations
-  | The degree of compliance with fundraising controls and procedures
-  | The percentage of commitment to spend donations for the purposes for which they were collected
-  | The target amount compared to the realized amount
-  | The percentage of beneficiaries whose approval for the data exchange permit has been obtained from the total beneficiaries
-  | Any other indicators agreed upon between DCD and the Ministry

The details of the indicators of the efficiency measurement and evaluation system are attached in Appendix 1.

The evaluation of these entities is carried out according to the following evaluation levels:



Except for the first permit request, permitted entities must have a "good" evaluation level to obtain a fundraising permit.

This system is used to evaluate licensed entities and permitted entities separately, with the first evaluation commencing after the completion of the initial campaign. The efficiency level is then calculated at the end of each fundraising permit based on the outcomes of the set indicators.

As for the licensed entities, they are not required to follow the entity efficiency measurement and evaluation system, unless they submit a request for accreditation as a charitable entity.

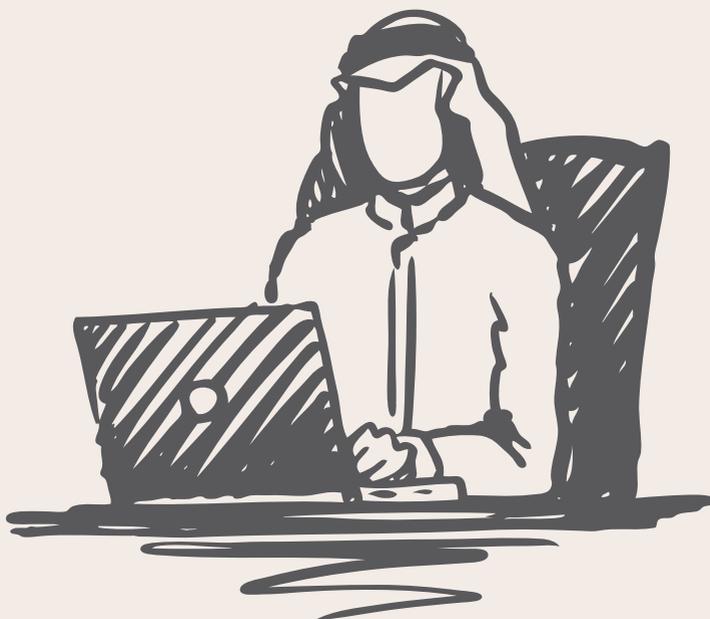
If a permitted entity receives a "poor" evaluation level, no permit is granted for one year, after which the entity can request a fundraising permit. If an accredited entity receives a "poor" evaluation level, it cannot fundraise on behalf of permitted entities for a period of one year, after which period the entity can participate in the request for a fundraising permit as an accredited charitable entity.

If the concerned entity receives a "poor" evaluation level three consecutive times, it is referred to the Evaluation Committee that will recommend actions to be taken.

The Committee meets and studies the results of the evaluation. It can request any additional documents from the concerned entity. Based on the study, and as the case may be, the Committee can propose one of the following procedures:

- Improving the internal procedures of the concerned entity according to a specific period and plan
- Permanently blocking the concerned entity from receiving donations
- Permanently revoking the charitable status of the concerned entity
- Any other procedure deemed appropriate by the Committee

## 11. Unified Electronic Record



## ■ Unified Electronic Record

DCD, in collaboration with the Ministry, determines the unified electronic record, which encompasses all the data and information of donors, fundraising proceeds and distributions, beneficiary entities or individuals, in addition to any data or information. Licensed and permitted entities must establish systems that ensure obtaining the data and information related to the donors and the beneficiaries of the funds raised:

- Data and information on the full name of donors, beneficiaries as well as their contact details
- Information exchange permit, if approved by the beneficiary
- Copy of the license or establishment decision, if the donor or beneficiary is a legal person
- Data and information of the person responsible for the actual management of the legal person, including full name, contact details, and job title
- Any other data or information specified or requested by DCD

### Licensed and permitted entities must:

Register data and information related to donations in this record within a period not exceeding fifteen (15) working days from the date of receipt of donations

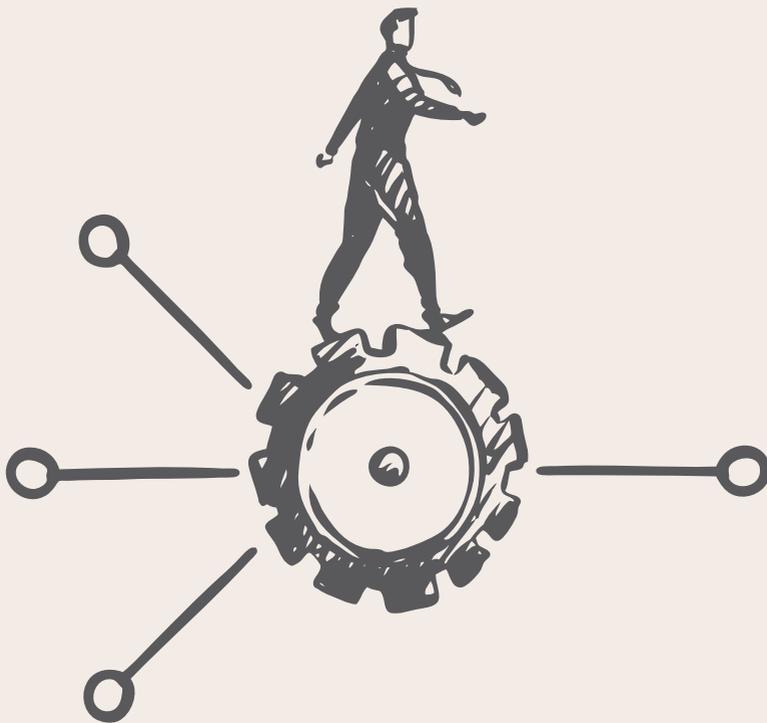
Select a liaison officer from the relevant departments or divisions to input fundraising data and information into the unified electronic record following the established mechanisms and procedures

### Licensed and permitted entities must abide by registering fundraising data and information, provided that they include the following:

- Sources of donations
- Fundraising proceeds
- Fundraising purpose
- Distribution methods
- Beneficiaries
- Any other data specified or requested by DCD

Licensed permitted entities can request to link their electronic systems (if any) directly to DCD's record.

## 12. Record, Data, and Document Retention



## ■ Record, Data, and Document Retention

Licensed and permitted entities must retain fundraising records for a minimum of ten (10) years. These records must not be disposed of unless prior approval is obtained from DCD, as per the following procedures:

The entity can submit the request to dispose of fundraising records, with the requested documents attached.

If the request meets the conditions and requirements as per the legislation applicable in Abu Dhabi, DCD will issue an approval to dispose of fundraising records, within twenty (20) working days.

DCD can reject the request to dispose of fundraising records or part of the request, even if the specified period has elapsed, in certain cases, such as instances of violations or ongoing procedures related to fundraising activities.

The requesting entity must notify DCD of the date of disposal of the records and details of the disposal process in a detailed report within ten (10) working days from the date of completion of the process.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to submit a request to dispose of fundraising records are:**

An undertaking that all records and data requested to be disposed of have already been added to DCD records



Request to dispose of fundraising records inclusive of the details of the disposal process, signed by the requesting entity's authorized representative

# 13.

## Obligations of Fundraising Entities

13.1 Obligations of Permitted Entities

13.2 Obligations of Licensed Entities and Entities with a Charitable Status

13.3 Obligations of Accredited Charitable Entities



## ■ 13.1 Obligations of Permitted Entities

Permitted entities must provide DCD with the following:

- **Periodic reports, including:**
  - A report on the fundraising proceeds within a period not exceeding fifteen (15) working days from the date of receipt of donations. DCD can request the report to be approved by a listed audit office or company
  - A report on the beneficiaries, within a period not exceeding fifteen (15) working days from the date of distribution of donations
  - Periodic reports on projects, their progress, and their payments, if the purpose of fundraising is to carry out charitable or humanitarian projects
  - A report on the audited financial statements or final accounts, specifying the amount of donations collected and the aspects and methods used for their distribution, within a period not exceeding thirty (30) working days from the expiry of the permit. DCD can request the report to be approved by a listed audit office or company
- **Providing donations to beneficiaries within the period specified in the permit request. DCD can extend such a period, if justified**
- **Any other report, data, or obligations specified by legislation or DCD**

Permitted entities must abide by using the information systems specified by DCD to:

Register data and information related to fundraising in the electronic record within a period not exceeding fifteen (15) working days from the date of receipt of donations

Select a liaison officer from the relevant departments or divisions to input fundraising data and information into the unified electronic record following the established mechanisms and procedures

## ■ 13.2 Obligations of Licensed Entities and Entities with a Charitable Status

- **Periodic reports, including:**
  - Reports on the donations collected, detailing the amount of money collected, the methods of distribution, and donor information
  - Periodic reports and statements containing the names and information of individuals overseeing the fundraising process
  - Periodic reports on beneficiaries
- **Retaining financial statements or final accounts audited as per the applicable legislation in the UAE, specifying the amount of donations collected and the aspects and methods used for their distribution**
- **Any other report, data, or obligations specified by legislation or DCD**

### **Licensed entities and entities with a charitable status must abide by using the information systems specified by DCD to:**

- Register data and information related to fundraising in the electronic record within a period not exceeding fifteen (15) working days from the date of receipt of donations
- Select a liaison officer from the relevant departments or divisions to input fundraising data and information into the unified electronic record following the established mechanisms and procedures
- Implement electronic linkage with DCD upon request

Licensed entities and entities with a charitable status must inform the DCD of the bank name and account number within ten (10) working days of opening a bank account for fundraising purposes, after obtaining an NOC.

Licensed entities and entities with a charitable status are not allowed to deposit any funds, other than those obtained from fundraising, into bank accounts designated for fundraising purposes. They must submit periodic financial statements for such accounts to DCD, along with any requested data, information, or documents.

### ■ 13.3 Obligations of Accredited Charitable Entities

When managing and collecting donations on behalf of permitted entities, accredited charitable entities must abide by the following:

1. Permit terms and controls
2. Providing permitted entities with periodic reports on the fundraising process
3. Delivering the fundraising proceeds to permitted entities within a period not exceeding thirty (30) working days from the date of permit expiry
4. Adhering to the administrative and operational expense percentages of the fundraising process
5. Retaining regular electronic records documenting fundraising resulting from permits for a minimum of ten (10) years. These records must not be disposed of unless prior approval is obtained from the DCD. The records must include the following data:
  - Name of the permitted entity
  - Permit number, date, and duration
  - Fundraising purpose
  - Fundraising method
  - Fundraising venues
  - Amount of donations collected
6. Any other data or obligations specified or requested by DCD

Accredited charitable entities must abide by the information systems specified by DCD, which require them to:

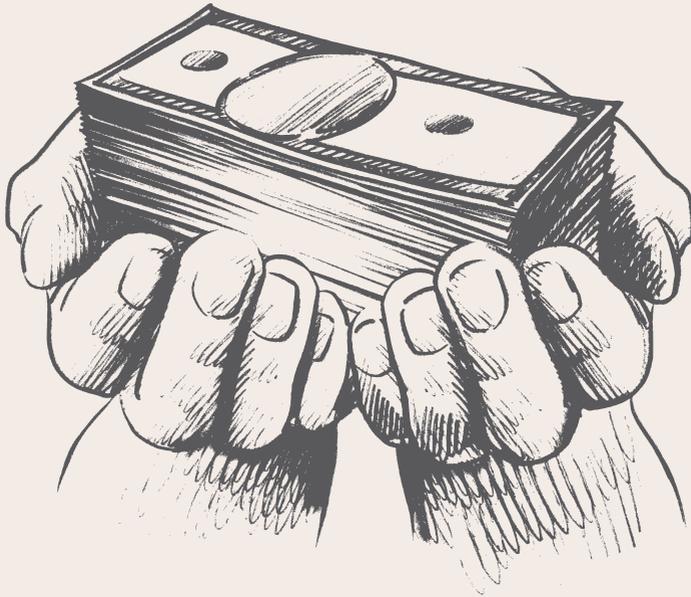
- Record data and information related to fundraising in the electronic record within a period not exceeding fifteen (15) working days from the date of the receipt of donations
- Select a liaison officer from the relevant departments or divisions to input fundraising data and information into the unified electronic record following the established mechanisms and procedures
- Implement electronic linkage with DCD upon request

# 14.

## Opening Bank Accounts for Fundraising Purposes

14.1 Closing Bank Accounts for Fundraising Purposes

14.2 Managing Bank Accounts Containing Violating Donations



To open one or more current accounts for fundraising purposes with any of the national banks operating in the UAE, licensed entities must submit a request to DCD to obtain an NOC to open a bank account for fundraising purposes, along with all the required documents attached:

Accredited charitable entities must open separate current accounts in which the proceeds from fundraising permits are deposited on behalf of permitted entities. The entity submits a request to issue an NOC to open a bank account for fundraising purposes, with the required documents attached.

If the request meets the conditions and requirements as per the legislation applicable in Abu Dhabi, DCD will issue an NOC permitting the opening of a bank account for fundraising purposes, within a timeframe set for issuing the classification, i.e., thirty (30) working days.

Licensed and charitable entities must inform DCD of the bank name and account number within ten (10) working days of opening a bank account.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required to request an NOC to open a bank account for fundraising purposes are:**

Proof of authorization of representatives to open bank accounts

A request to issue an NOC to open a bank account for fundraising purposes, including details of the bank account, such as the local bank name and branch, signed by the requesting entity's authorized representative

If the requesting entity is a public benefit organization, it must provide the minutes of the Board of Directors/Board of Trustees meeting, which include the names and positions of the attendees.

If the requesting entity is a different entity, it must attach proof of authorization for individuals to sign on bank accounts.

Licensed and charitable entities are not allowed to deposit any funds, other than those obtained from fundraising, into bank accounts designated for fundraising purposes. They must submit periodic financial statements for such accounts to DCD, along with any requested data, information, or documents.

Banks and financial institutions cannot open any accounts to collect or receive funds to any entity except by virtue of an NOC from DCD's Chairman or the authorized representative thereof.

Banks and financial institutions in the UAE cannot make any money transfers outside the UAE via bank accounts for fundraising purposes, except in accordance with the controls and procedures set by the Ministry of Foreign Affairs and International Cooperation.

## ■ 14.1 Closing Bank Accounts for Fundraising Purposes

Licensed and accredited charitable entities cannot close bank accounts without obtaining prior approval from DCD, as per the following procedures:

The entity submits a request to issue an NOC to close a bank account, with the requested documents attached.

If the request meets the conditions and requirements as per the legislation applicable in Abu Dhabi, DCD will issue an NOC permitting the closing of a bank account, within twenty (20) working days.

The entity must notify DCD of the date of closing the bank account and details of the distribution of the remaining funds in a detailed report within ten (10) working days from the date of closing the account.

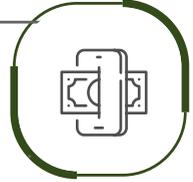


In addition to the documents specified in Clause 2.4 of the present Policy, the documents required are:



Bank statement for the last six months

Request to issue an NOC to close a bank account, including details of the bank account, such as the local bank name and branch, account number, remaining funds, and method and date of distribution, signed by the requesting entity's authorized representative



## ■ 14.2 Managing Bank Accounts Containing Violating Donations

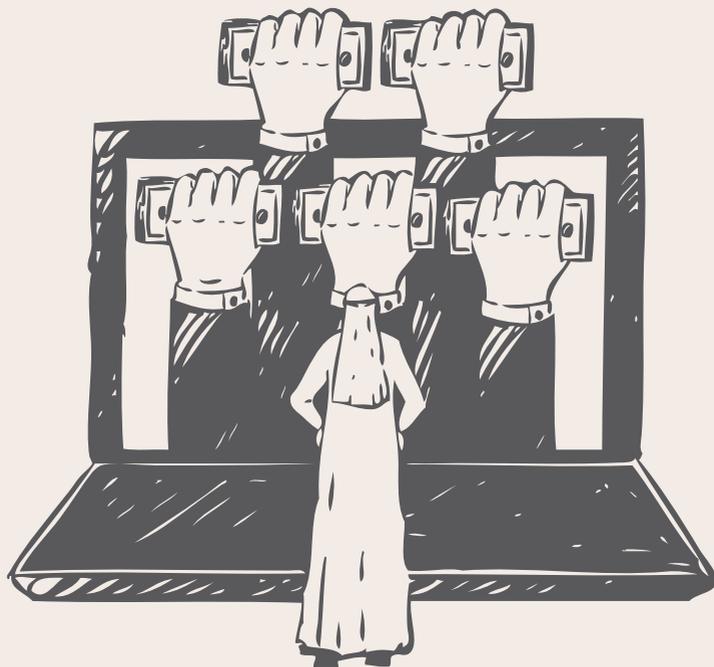
DCD can request the freezing, suspension, or closure of bank accounts that hold funds collected in violation of the provisions of the Law and its associated legislation, as per the Executive Regulations.

# 15. Fundraising Methods

15.1 Regular Methods

15.2 Electronic Methods

15.3 Other Methods



## 15.1. Regular Methods



Monthly deductions from personal accounts



Visits by representatives and the utilization of electronic or paper receipts for documenting direct payments



Boxes, containers, coupons, or cash donations against receipts



Direct deposits in bank accounts



ATMs of licensed banks in the UAE



Bank accounts for fundraising purposes



Money transfers to the accounts allocated for the campaign



Different media outlets



Various marketing and promotional offers and the accompanying request for donations

## 15.2. Electronic Methods



Social media platforms



Fundraising digital platforms accredited by DCD



Short text messages (SMS)

## 15.3. Other Methods



Any other means approved by the Ministry in coordination with DCD



Markets, exhibitions, auctions, and charitable events

# 16.

## Cash and In-kind Donations

16.1. Cash Donations

16.2. In-kind Donations

16.3. Cash and In-kind Boxes Procedures



## ■ 16.1.Cash Donations

Licensed and permitted entities can accept cash donations for distribution to beneficiaries as per the applicable legislation in the UAE.

Cash donations must not exceed AED 10,000. Should the donation amount exceed this limit, it must be made through transfers, bank deposits, or checks. This applies to both the receipt of donations from donors and the delivery of funds to beneficiaries.

## ■ 16.2. In-kind Donations

Licensed and permitted entities can collect and receive in-kind donations in their various categories as per the applicable legislation in the UAE.

### ° In-kind Donations Categories



#### Goods/ Property

Examples of goods or property that can be considered in-kind donations include computer hardware and software, office furniture, medical and pharmaceutical supplies, and food products. This category also includes intangible property, such as securities, copyrights, and patents, as well as items that can be used to fundraise for awards or put up for auction for fundraising.



#### Professional Services/ Expertise

Professional services or expertise that can be considered in-kind donations include:

1. Legal services
2. Accounting services
3. Web and social media design services
4. Consulting services
5. Videography services



## Other Services

Other services that can qualify as in-kind donations include activities that generate or amplify the value of an asset, discounted or complimentary utilization of office or meeting facilities, and the provision of complimentary administrative tasks like photocopying or printing, in addition to any other services approved by the DCD.

### ◦ **In-kind Food and Pharmaceutical Donations**

Licensed and permitted entities cannot accept, transfer, retain, or provide in-kind food or pharmaceutical donations, to be distributed within the UAE, which violate the specifications outlined in the applicable legislation in the UAE.

Licensed and permitted entities cannot accept in-kind food or pharmaceutical donations to distribute them outside the UAE, except in accordance with the requirements outlined in the Law.

### ◦ **Converting In-kind Donations into Cash**

In-kind donations can be converted into cash whenever necessary after obtaining DCD's approval, in accordance with the controls and procedures specified in the Executive Regulations.

The entity must submit the conversion request to DCD at least fifteen (15) working days prior to the designated conversion date unless there is a risk of losing or being unable to distribute the donations. In such cases, an urgent submission of the request can be made.

DCD will study the request, and a decision is issued within twenty (20) working days from the date of receiving the request for non-urgent requests, and within five (5) working days for urgent requests.

If the request meets the conditions and requirements outlined in the Executive Regulations, DCD will issue an approval to convert in-kind donations into cash.

Should the request fail to meet the controls and procedures specified in the Executive Regulations, it will be rejected. If a decision to accept the request is not made within a period of thirty (30) working days, the request will be considered implicitly rejected.

If approved, DCD will oversee the transfer process and procedures.

The entity is required to deposit the funds generated from the campaign operation within a maximum of seven (7) working days from the transfer date and to inform DCD accordingly.

Request to convert in-kind donations into cash, signed by the requesting entity's authorized representative, including:

- Detailed statement of the in-kind donations to be converted, as well as their source and purpose, supported by copies and documents
- Three bids from entities that can convert the required donations, indicating conversion costs
- Written approval from the third party overseeing the conversion process showing the costs of the conversion procedures
- Formal letter from the bank providing the necessary information regarding the bank account where the converted cash proceeds from in-kind donations will be deposited

If the request meets the conditions and requirements outlined in the Executive Regulations, DCD will issue an approval to convert in-kind donations into cash.

Should the request fail to meet the controls and procedures specified in the Executive Regulations, it will be rejected. If a decision to accept the request is not made within a period of thirty (30) working days, the request will be considered implicitly rejected.



## In addition to the documents specified in Clause 2.4 of the present Policy, the documents required are:

- Request to convert in-kind donations into cash, signed by the requesting entity's authorized representative, including: Date and procedures of the conversion



Estimated value of donations



Reasons and justifications for the conversion



- Detailed statement of the in-kind donations to be converted, as well as their source and purpose, supported by copies and documents
- Three bids from entities that can convert the required donations, indicating conversion costs
- Written approval from the third party overseeing the conversion process showing the costs of the conversion procedures
- Formal letter from the bank providing the necessary information regarding the bank account where the converted cash proceeds from in-kind donations will be deposited

### ■ 16.3. Cash and In-kind Boxes Procedures

Licensed and permitted entities that intend to collect donations through donation boxes must submit a request for an NOC to DCD. The request must be accompanied by the required documents, following the guidelines provided in the manual on procedures and criteria for cash and in-kind donations, as issued by the DCD and other relevant stakeholders, such as the Department of Municipalities and Transport. The request must provide details addressing the following criteria:

The design of the donation boxes, including their size and dimensions, and the design of the banners and stickers that will be placed on them

The suggested number of boxes in line with target amount and donation type

The completion date for the fundraising process and removal of donation boxes from their locations

The proposed sites for placing donation boxes, in addition to the proposed distribution of boxes in line with the types and uses of the specific sites

The proposed mechanism for the opening and valuing of the contents of the boxes

Any other requirements set by DCD and other stakeholders (e.g., the Department of Municipalities and Transport)

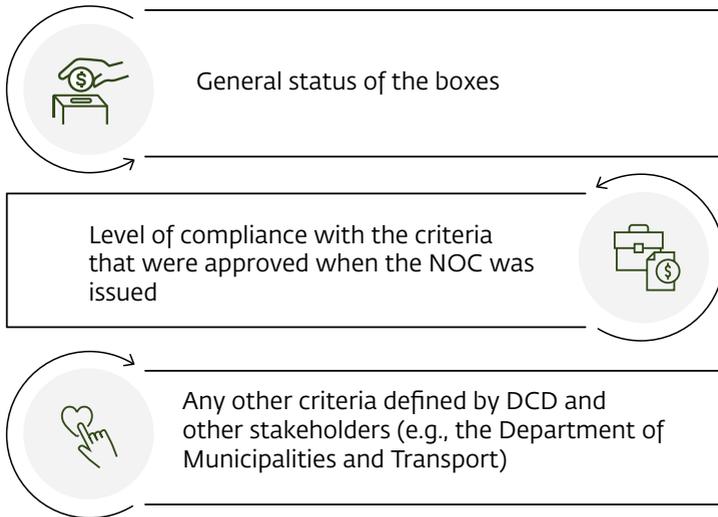
If the request meets the conditions and requirements, DCD will issue an NOC to the requesting entity, including the entity's name, its primary field or activity, in addition to approved box details (number, duration, and locations), within thirty (30) working days, as well as any other information deemed appropriate by DCD.

Once the entity acquires the NOC from the DCD, it must engage with the relevant entities based on its jurisdiction to obtain the required approvals in compliance with the applicable requirements and procedures within Abu Dhabi.

If the competent entities in Abu Dhabi deem it necessary to change the suggested locations for the boxes, the entity with the NOC must inform DCD about the updated plan and detailed locations within ten (10) working days from the date of the update.

After obtaining the required approvals, the entities with the NOC are responsible for operating and maintaining the boxes according to the criteria agreed upon in the fundraising permit through the donation boxes.

The donation boxes are supervised and monitored by DCD and other stakeholders (e.g. the Department of Municipalities and Transport), each according to their jurisdiction, by conducting random inspections based on the following criteria:



Once the fundraising campaign is concluded, the licensed and charitable entities must provide comprehensive reports to DCD regarding the operations, procedures, and outcomes of the box openings.

Should the request fail to meet the approved conditions and requirements, it will be rejected. If a decision to accept the request is not made within thirty (30) working days, the request will be considered implicitly rejected.

In an effort to improve the efficiency of donation boxes in Abu Dhabi, DCD is actively pursuing innovation and excellence by exploring digital donation boxes and the direct receipt of in-kind donations, aiming to preserve the overall appearance and safety of Abu Dhabi. Therefore, any entity wishing to collect cash and in-kind donations through innovative solutions must follow the same procedure.



## In addition to the documents specified in Clause 2.4 of the present Policy, the documents required are:

- Request to issue an NOC to use donation boxes:
- Detailed plan including:



The suggested number of boxes in line with target amount, donation type, and fundraising duration



The proposed detailed sites for placing donation boxes, in addition to the proposed distribution of boxes in line with the types and uses of the specific sites



The design of the donation boxes, including their size and dimensions, and the design of informative banners and stickers

If DCD determines that the entity with the NOC has violated the conditions set by DCD or surpassed the approved plan, or if the period mentioned in the NOC has lapsed without duly removing its contents after conducting an inventory of the same, DCD will take appropriate actions regarding the condition of those boxes.

The entity with the NOC will be responsible for all costs and expenses related to the transfer and storage of those boxes. DCD will notify the entity about the procedure to duly open the boxes and conduct an inventory of their contents within a specified timeframe. Failure to comply with this requirement will result in the boxes being opened and disposed of at the expense of the entity, or they will be handed over to another licensed entity with no objection from the entity with the NOC.

# 17.

## Collecting and Receiving External Donations



## ■ Collecting and Receiving External Donations

Licensed and permitted entities cannot collect or receive donations, gifts, bequests, or subsidies from any person or entity from outside the UAE, except in accordance with the controls and procedures specified in the Executive Regulations.

DCD will study the request in collaboration with concerned entities and issue a decision within twenty (20) working days from the date of receiving the request. If no response is given within this timeframe, it is regarded as a rejection of the request.

If approved, DCD will issue an NOC to receive donations from abroad addressed to the requesting entity's bank allowing the receipt of donations through the entity's bank account.

Licensed and permitted entities must register all donations received from outside the UAE using DCD's electronic records. They must also submit a comprehensive report to DCD, detailing the donations, gifts, bequests, or subsidies collected or received from abroad, including information on how they were distributed, through the unified electronic record within a maximum period of fifteen (15) days.



**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required are:**

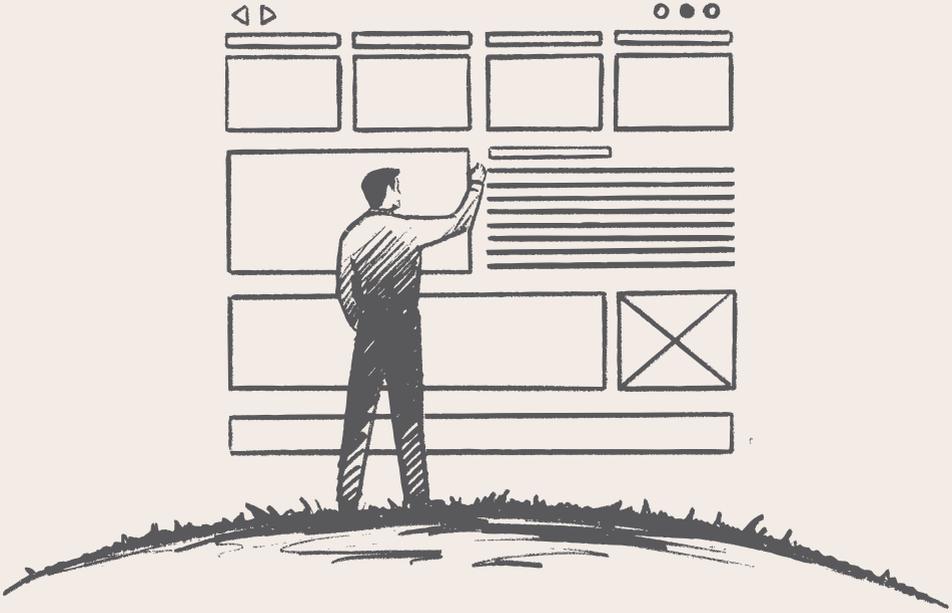
Request to issue an NOC to receive donations from abroad, signed by the requesting entity's authorized representative

Accredited charitable entities' consent to raise donations

Letter from the requesting entity's bank indicating the bank account information

# 18.

# Digital Platforms



## ■ Digital Platforms

Operators of digital fundraising platforms wishing to operate within the geographical boundaries of Abu Dhabi must obtain a certification from DCD by submitting a request for a certification of a digital platform for fundraising purposes, as per the following conditions:

- Conducting an evaluation of the digital platform systems by a competent third party with experience in evaluating digital platforms in the UAE.
- Reporting the results of evaluating the following items of the digital platform:



**Platform Integrity Assessment:** Assessment of the overall structure, design, and implementation of the platform, including its core software and hardware, servers, databases, disaster recovery capabilities, and change management process



**Data Integrity Assessment:** Assessment of data collection, storage, and management processes, as well as procedures for ensuring data quality and accuracy, backup and recovery protocols, and the platform's ability to address data breaches or data loss scenarios



**Platform Security Assessment:** Assessment of the platform's comprehensive security posture, including vulnerability management, threat detection, and incident response capabilities, and review of the platform's compliance with regulations and standards relevant to Abu Dhabi to ensure that suitable security controls are in place to protect sensitive data



**Risk Assessment:** Identification of potential risks to the platform, data, and security, including identification of potential security threats, vulnerabilities, and risks that could affect the platform's safety and security, and development of plans and controls to address and mitigate risks



**Testing and Validation:** Conducting routine security testing, involving vulnerability and penetration testing, as well as testing and validating new features, updates, and changes made to the platform



**Donation Tracking:** The platform must track donations made to entities, including the donor's name, donation amount, and date and time of the donation. It must also keep track of fundraising progress and generate financial reports regarding donations



**Donor and Beneficiary Management:** The platform must offer a tool for managing the donor and beneficiary database and linking their contributions with various fundraising activities, including sending notifications, providing fundraising updates, and supporting other communication channels



**Compliance Monitoring:** The platform must monitor the compliance of entities with relevant regulations and guidelines within Abu Dhabi, including reporting requirements and ethical standards



**Real-time Data Insights:** The platform must offer real-time insights for fundraising activities, empowering data-based decision-making, and the ability to adjust fundraising strategies as required



**Data Privacy:** The system must implement controls to safeguard the privacy of personal data, alongside data encryption, to guarantee protection against unauthorized access and potential breaches, as per the privacy policies of stakeholders, outlining the guidelines for the collection, utilization, storage, and sharing of donor information. The location of the data must be within the geographical boundaries of the UAE



**Integration Processes:** Ensuring the exchange of API data, enabling authorized users to link the digital platform with the unified electronic record at DCD, and ensuring that all required information regarding fundraising processes is incorporated into the legislation

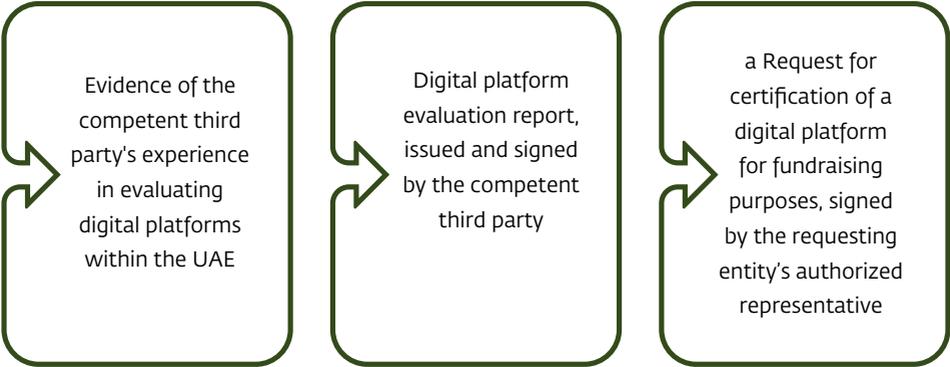
- Any other requirements specified or requested by DCD

If the request meets the conditions and requirements, DCD will issue an approval to certify the digital platform for fundraising purposes within twenty (20) working days.

DCD will monitor and oversee all operations of the digital platform. Should the request fail to meet the accreditation conditions and requirements as per the legislation applicable in Abu Dhabi, it will be rejected. If a decision to accept the request is not made within a period of thirty (30) working days, the request will be considered implicitly rejected.

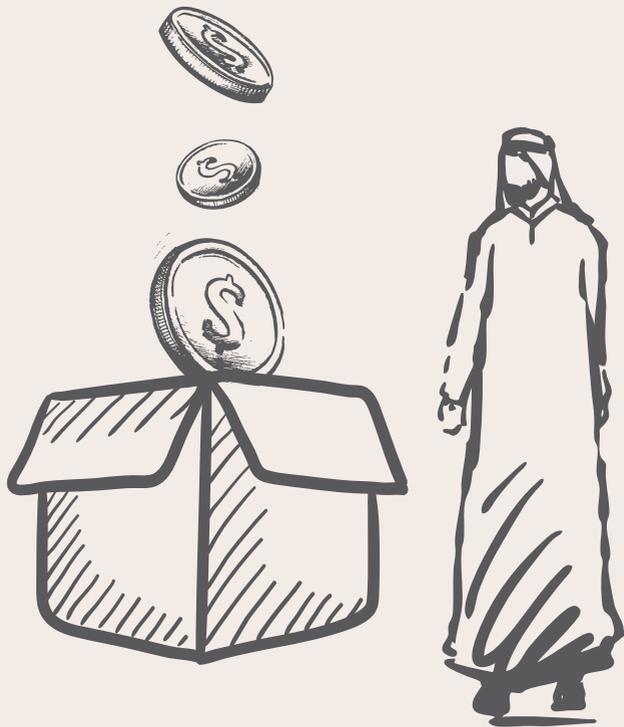


**In addition to the documents specified in Clause 2.4 of the present Policy, the documents required are:**



# 19.

## Donations Outside the UAE

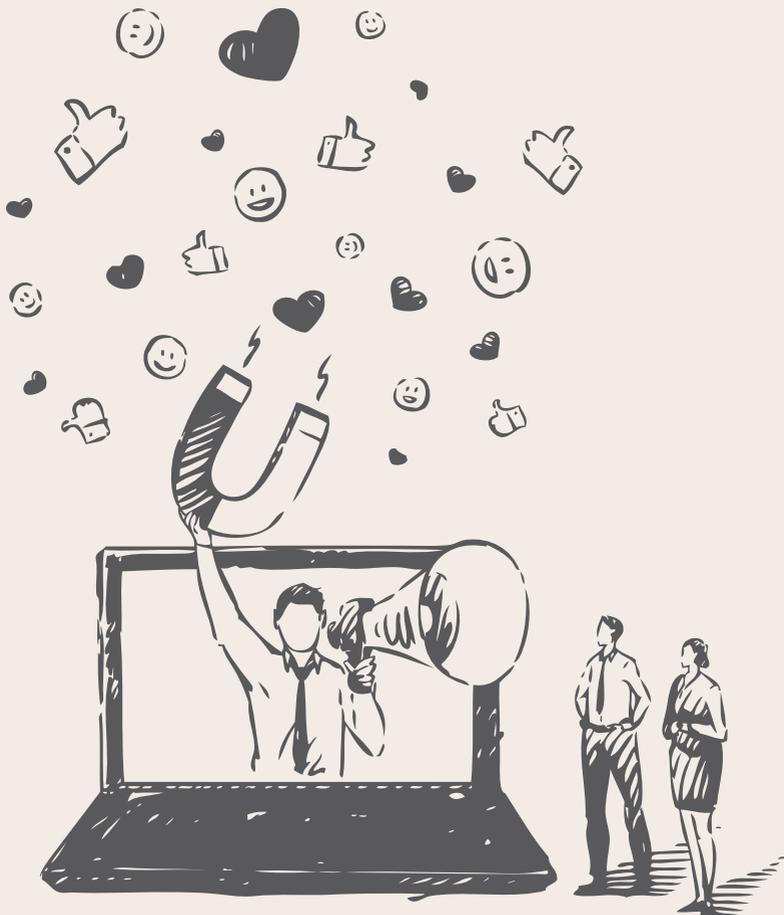


## ■ Donations Outside the UAE

The act of receiving, presenting, or transferring donations to individuals or entities located outside the UAE is subject to the controls and procedures outlined in the Executive Regulations.

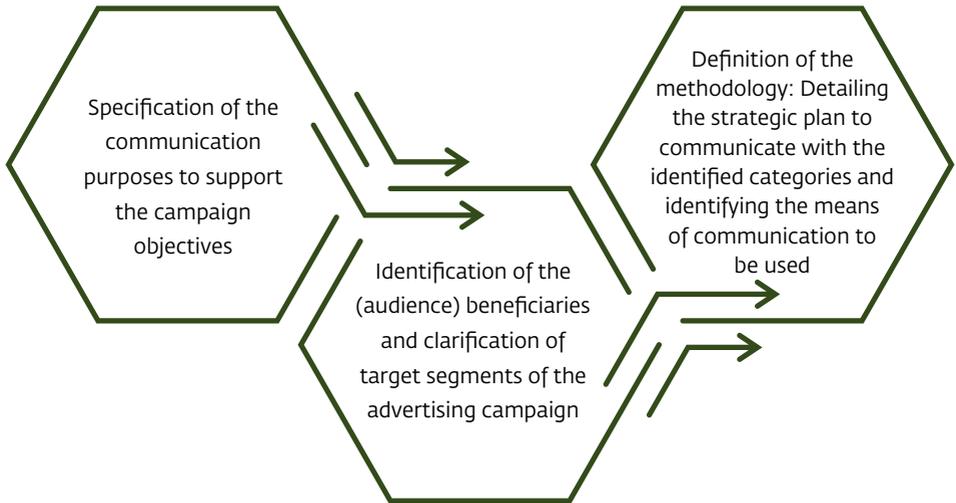
## 20.

## Advertising



## ■ Advertising

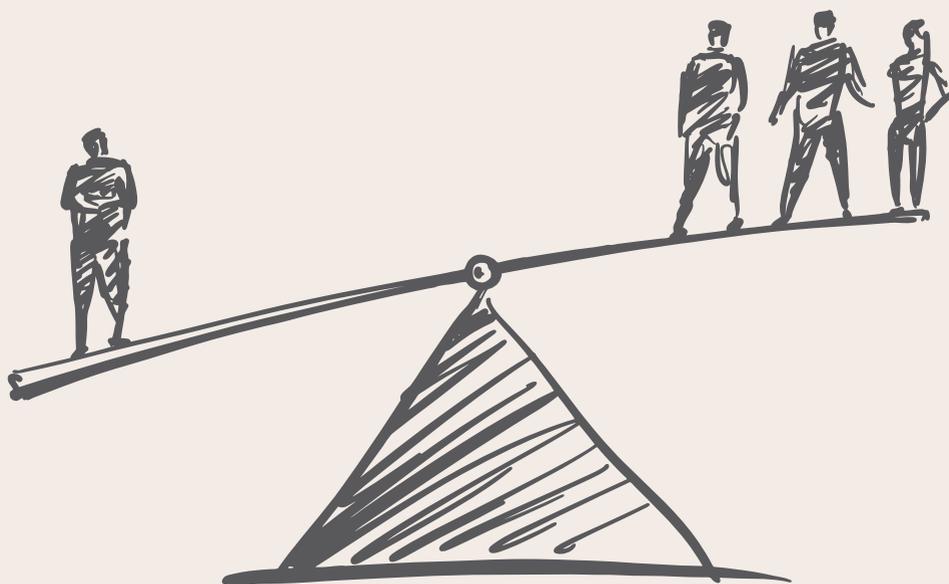
If there is an advertisement for fundraising campaigns within Abu Dhabi, the entity requesting a fundraising permit must include the following details to the permit request:



The entity must also submit a detailed copy of the advertising activities related to the fundraising, including the content, form, and means used.

To enhance coordination between foreign donors within the UAE, the guidelines provided by the Ministry of Foreign Affairs and International Cooperation must be observed when advertising for fundraising campaigns abroad.

## 21. Complaints and Grievances

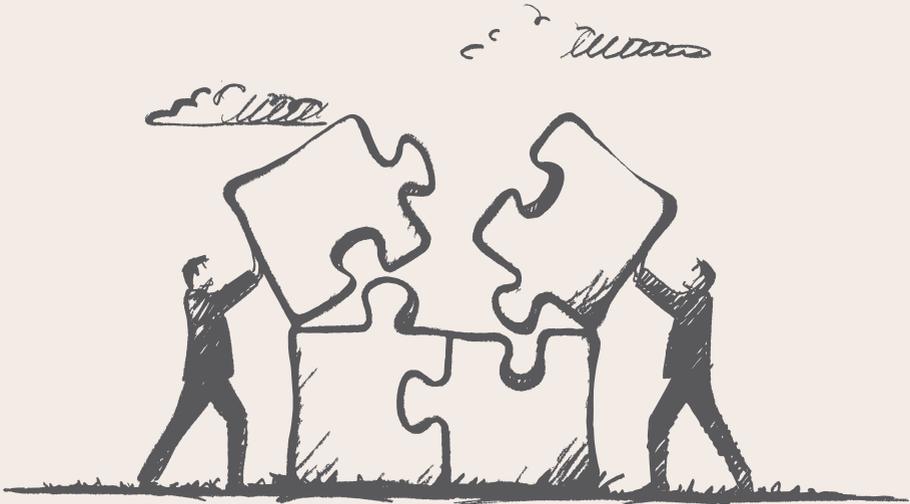


## ■ Complaints and Grievances

A grievance can be filed against any decision issued by DCD in the execution of the provisions of the Law, its Executive Regulations, policies, and any decisions issued in implementation thereof, within a maximum of fifteen (15) working days from the date the decision is known, before the Grievance Committee at DCD, which was formed by the Resolution of DCD Chairman No. 63 of 2022.

The Committee will decide on the grievance within fifteen (15) working days from the date of filing the grievance. The decision on the grievance is final and notified to the appellant. If a decision is not made within thirty (30) working days, the request will be considered implicitly rejected.

## 22. Policy Review



## ■ **Policy Review**

The Policy will be reviewed every two years in accordance with the procedures and requirements of DCD.



## **Appendix 1 - Efficiency Measurement and Evaluation System Scoring Matrix**

Indicators	Excellent	Good	Weak	Weight for Adopted Charitable Entities	Weight for Permitted Entities
Percentage of fundraising costs from total donations	Total net fundraising amount is equal to or greater than 75% of the total amount raised	Total net fundraising amount is less than 75% and greater than 25% of total amount raised	Total net fundraising amount is equal to or less than 25% of total amount raised	25%	5%
Target amount compared to the realized amount	Total fundraising collected is equal to or greater than 75% of the total targeted	Total fundraising collected is less than 75% and greater than 25% of the total targeted	Total fundraising collected is equal to or less than 25% of the total targeted	10%	10%
The degree of compliance with fundraising controls and procedures	Timely provision of all required reports	Timely provision of 2 out of 3 required reports		50%	70%
The percentage of commitment to spend donations for the purposes for which they were collected	100%	In case of change in beneficiaries by DCD	Less than 100% (If the main objective is not changed by DCD)	10%	10%
Percentage of beneficiaries whose approval for the information exchange permit has been obtained from the total beneficiaries.	Total beneficiaries approving information exchange is equal to or greater than 75% of total beneficiaries	Total beneficiaries approving information exchange is less than 75% and greater than 15% of total beneficiaries	Total beneficiaries approving information exchange is equal to or less than 15% of total beneficiaries	5%	5%



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